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BALTIMORE CITY ETHICS BOARD

626 City Hall

Baltimore, Maryland 21202

Phone: 410-396-4730 Fax: 410-396-8483

<http://www.baltimorecity.gov/Government/BoardsandCommissions/EthicsBoard.aspx>

LATE FEE: \$2/DAY

**IMPORTANT:
CAREFULLY READ
ACCOMPANYING DIRECTIONS**

**FINANCIAL DISCLOSURE STATEMENT
FOR
OFFICIALS AND EMPLOYEES GENERALLY**

NOTE: *Bold-italicized terms* are defined in the accompanying Financial Disclosure Directions, which should be reviewed carefully before completing this Statement.

PART A. IDENTITY OF STATEMENT MAKER

All filers:

Last Name STOKES First and Middle Names Carl Frank
Principal Residence 24 Guilford Ave
Baltimore MD
Residence Telephone [REDACTED]

All filers *except* candidates for elected office:

Agency (Dep't, Division, Bureau) _____
Position with Agency _____
Office Address _____

Office Telephone () _____ Email Address: _____

Candidates for elected office:

Office Sought _____

PART B. TYPE OF STATEMENT/REPORTING PERIOD COVERED

All filers must check the applicable type of Statement and specify the year for which it is filed:

☒ Annual Statement ☐ Entry Statement ☐ Departure Statement ☐ Candidate's Statement

For Calendar Year 2011.

Persons filing a Departure Statement must also complete the following {see Directions at Part III(c)(2)}:

This Statement also covers the period of January 1, 20__ through ____, 20__.

PART C. RECEIPT BY ETHICS BOARD

NOTE: To be completed only by Ethics Board.

This Statement and accompanying Schedules were received for filing on 5-17, 2012

[Signature]
For Board of Ethics

PART D. DISCLOSURES

1. INTERESTS IN REAL PROPERTY

During the *reporting period* covered by this Statement, did any of the following have any *interest* in any real property (including property purchased or leased as your or their personal residence), whether located in or outside Baltimore City?

If you answer "yes" to any of these, complete and attach **Schedule 1**.

a. You

☒ Yes

☐ No

b. A *family member* (if you directly or indirectly controlled that *family member's interest*)

☐ Yes

☒ No

c. An *attributable entity*

☐ Yes

☒ No

d. A partnership, limited liability partnership, limited liability company, or other unincorporated entity in which you, a *family member* (if you directly or indirectly controlled that *family member's interest*), or an *attributable entity* held an interest

☐ Yes

☒ No

2. INTERESTS IN BUSINESS ENTITIES

During the *reporting period* covered by this Statement, did any of the following have any *interest* in any *business entity*?

If you answer "yes" to any of these, complete and attach **Schedule 2**.

a. You

☐ Yes

☒ No

b. A *family member* (if you directly or indirectly controlled that *family member's interest*)

☐ Yes

☒ No

c. An *attributable entity*

☐ Yes

☒ No

3. POSITIONS WITH *BUSINESS ENTITIES DOING BUSINESS WITH CITY*

During the *reporting period* covered by this Statement, did any of the following hold an office, directorship, salaried employment, or similar position with any *business entity* that does *business with the City* {or is regulated by or lobbies before the *City*}?

If you answer "yes" to any of these, complete and attach **Schedule 3**.

a. You

☐ Yes

☒ No

b. Your spouse or child

☐ Yes

☒ No

c. Your parent or sibling (to the extent known to you)

☐ Yes

☒ No

4. *GIFTS (INCLUDING TRAVEL EXPENSES) FROM PERSONS DOING BUSINESS WITH CITY*

During the *reporting period* covered by this Statement, did any of the following accept, directly or indirectly, any *significant gift* (including payment of travel expenses) from any *person* that (i) does *business with the City* {or is regulated by or lobbies before the *City*} or (ii) is an owner, partner, officer, director, trustee, employee, or agent of any *person* that does *business with the City* {or that is regulated by or lobbies before the *City*}?

If you answer "yes" to any of these, complete and attach **Schedule 4**.

a. You

☐ Yes

☒ No

b. A *family member* or other *person* at your direction

☐ Yes

☐ No

5. *DEBTS TO PERSONS DOING BUSINESS WITH CITY*

During the *reporting period* covered by this Statement, were any of the following indebted to any *person* that does *business with the City* {or is regulated by or lobbies before the *City*}?

Note: The following debts need not be reported: (i) utility accounts (e.g., telephone, gas, or electric accounts); or (ii) retail credit or installment sales accounts (e.g., credit card purchases or advances; car or appliance financing through dealer or established lender).

If you answer "yes" to any of these, complete and attach **Schedule 5**.

a. You

☐ Yes

☒ No

b. A *family member* (if you were involved in the transaction giving rise to the debt)

☐ Yes

☒ No

a. Your spouse or child

☒ Yes

☐ No

b. Your parent or sibling

☐ Yes

☒ No

7. OTHER SOURCES OF EARNED INCOME

During the *reporting period* covered by this Statement, were any of the following (i) a compensated employee of someone other than the *City*; (ii) an owner (sole or partial) of a *business entity*; or (iii) a recipient of earned income from a *business entity*?

If you answer "yes" to any of these, complete and attach **Schedule 7**.

a. You

☒ Yes

☐ No

b. Your spouse or child

☐ Yes

☒ No

8. ADDITIONAL INFORMATION

Is there any other interest or information that you would like to disclose?

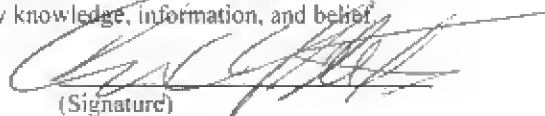
If you answer "yes", complete and attach **Schedule 8**.

☐ Yes

☒ No

PART E. SIGNATURE AND AFFIRMATION

I, Carl Frank Stokes, solemnly affirm under the penalties of perjury that the contents of this Statement and of all accompanying Schedules are true to the best of my knowledge, information, and belief.


(Signature)

PART F. NOTARIZATION

STATE OF MARYLAND
CITY/COUNTY OF Balto

I CERTIFY that, on this 12th day of May, 2012, before me, a Notary Public in and for the City/County of Balto, personally appeared Carl Frank Stokes, who acknowledged that this Statement, the accompanying Schedules, and the preceding Affirmation were all his/her act.

AS WITNESS, my hand and Notarial Seal:


(Notary Public)

My Commission Expires: 9/30/15

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SCHEDULE 1
INTERESTS IN REAL PROPERTY

NOTE: For more than one property,
make additional copies of this Schedule.

1. LOCATION AND TYPE OF PROPERTY

Address or Legal Description:

2411 Guilford Ave
Baltimore, MD 21218

Type of Property:

☒ Improved

☐ Unimproved

☒ Residential

☐ Commercial

Other (explain): _____

2. HOLDER OF INTEREST

Name:

Carl Stokes

Relationship to Statement Maker:

☒ Self

☐ Spouse

☐ Child

☐ Parent

☐ Sibling

☐ Attributable Entity

☐ Unincorporated entity in which one of above held an *interest*

Address: _____

3. NATURE OF INTEREST

Type of *interest*:

☒ Fee simple

☐ Life Estate

☐ Leasehold

Other (explain): _____

How held:

☒ Solely held

☐ Jointly held*

*If jointly held, state % of interest: _____

4. OTHERS WITH *INTEREST* IN PROPERTY

Name: _____
Address: _____

Name: _____
Address: _____

Name: _____
Address: _____

5. CONDITIONS OR ENCUMBRANCES ON *INTEREST*

Describe the terms of any conditions or encumbrances on the *interest* and identify all parties involved:

Mortgage holder - C. Timmings

6. HOW *INTEREST* ACQUIRED

Person From Whom *Interest* Acquired:

Name: George H. Fiske 3rd
Address: 2400 W. 18th Ave
21218

Date Acquired: 6/15/92

Manner of Acquisition:

☒ Purchase ☐ Gift ☐ Inheritance

Other (explain): _____

If Acquired by Purchase:

Nature and dollar amount (or value) of consideration paid for *interest*:

\$71,000

If Acquired Other Than by Purchase:

Fair market value of *interest* when acquired: \$ _____

7. TRANSFERS

If all or any part of the *interest* was transferred to another during the period covered by the Statement –

Person to Whom Interest Transferred:

Name: _____

Address: _____

Nature and amount of the *interest* transferred: _____

Nature and dollar amount (or value) of consideration received for the *interest*: _____

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SCHEDULE 2
INTERESTS IN BUSINESS ENTITIES

NOTE: For more than one *business entity*,
make additional copies of this Schedule.

1. IDENTITY OF *BUSINESS ENTITY*

Name: _____

Address of Principal Office: _____

2. HOLDER OF *INTEREST*

Name: _____

Relationship to Statement Maker:

☐ Self ☐ Spouse ☐ Child ☐ Parent ☐ Sibling ☐ *Attributable Entity*

Address: _____

3. NATURE AND AMOUNT OF *INTEREST*

Type of *interest*:

☐ Sole proprietor ☐ General Partner ☐ Limited Partner ☐ Joint Venturer

☐ Trust Beneficiary ☐ Trustor ☐ Reversionary Trust Interest

☐ Stockholder ☐ Other (explain): _____

Amount of *interest*:

For a non-equity *interest* (e.g., notes or bonds) in any *business entity*, indicate –

dollar value of the *interest* : \$ _____

For an equity *interest* in a publicly traded corporation, specify either –

dollar value of the *interest* : \$ _____ or

number of shares owned: _____

For an equity *interest* in a non-publicly traded corporation or other *business entity*, specify –

either –

dollar value of the *interest*: \$ _____ or

both –

number of shares/ownership units owned: _____ and

percentage of company ownership represented by the *interest*: _____%

4. CONDITIONS OR ENCUMBRANCES

Describe the terms of any conditions or encumbrances on the *interest* and identify all parties involved:

5. HOW *INTEREST* ACQUIRED

Note: Complete the following if the *interest* was acquired during the period covered by this Statement.

{*Exception:* If the *interest* (i) was acquired by dividend, (ii) consists solely of additions to existing publicly corporate interests, and (iii) has a value of less than \$500, you need only complete the item below labeled "Manner of Acquisition".}

Person From Whom Interest Acquired:

Name: _____

Address: _____

Date Acquired: _____

Manner of Acquisition:

☐ Purchase ☐ Gift ☐ Inheritance

Other (explain): _____

If Acquired by Purchase:

Nature and dollar amount (or value) of consideration paid for *interest*: _____

If Acquired Other Than by Purchase:

Fair market value of *interest* when acquired: \$ _____

6. TRANSFERS

If all or any part of the *interest* was transferred to another during the period covered by the Statement -

Person to Whom Interest Transferred:

Name: _____

Address: _____

Nature and amount of the *interest* transferred: _____

Nature and dollar amount (or value) of consideration received for the *interest*: _____

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SCHEDULE 3
POSITIONS WITH *BUSINESS ENTITIES* DOING *BUSINESS WITH CITY*

**NOTE: For more than one *business entity* or more than one position holder,
make additional copies of this Schedule.**

1. IDENTITY OF *BUSINESS ENTITY*

Name: _____

Address of Principal Office: _____

2. HOLDER OF POSITION

Name: _____

Relationship to Statement Maker:

☐ Self ☐ Spouse ☐ Child ☐ Parent ☐ Sibling

Address: _____

3. NATURE OF POSITION

Title: _____

Date Started: _____

General Duties: _____

4. AGENCIES WITH WHICH *BUSINESS ENTITY* DOES BUSINESS

Identify each agency of the *City* with which *business entity* does business and, as to each, the nature of that business (specifying, at a minimum, whether the *business entity* (i) is involved in sales or contracts with the agency; (ii) is regulated by the agency; or (ii) is a lobbyist with respect to matters before the agency):

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SCHEDULE 4
GIFTS FROM *PERSONS* DOING *BUSINESS WITH CITY*

NOTE: Provide the following information for
each *significant gift* or series of gifts from the same *person* or entity.
If needed, make additional copies of this Schedule.

1. IDENTITY OF *PERSON* MAKING GIFT

NOTE: Identify here the individual or entity by or on whose behalf, whether directly or indirectly,
the *significant gift* was given.

Name: _____

Address: _____

2. RECIPIENT OF *GIFT*

Name: _____

Relationship to Statement Maker:

☐ Self ☐ *Family member* or other *person*, at your direction

Address: _____

3. NATURE OF *GIFT*

Describe *gift*: _____

Retail value when received: \$ _____

4. TRAVEL EXPENSES

If the *gift* entailed any payment for all or any part of a trip or for meals, beverages, lodging, entertainment, or other associated expenses, provide the following information for that trip:

Location: _____

Nature of Event: _____

Fair Market Value of Entire Trip: \$ _____

Amount Paid for by You: \$ _____

Amount Paid for by *Person* Identified in Section 1: \$ _____

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SCHEDULE 5
DEBTS TO PERSONS DOING BUSINESS WITH CITY

NOTE: For more than one *person* doing *business with the City*,
make additional copies of this Schedule.

1. IDENTITY OF CREDITOR

Name: _____

Address of Principal Office: _____

2. DEBTOR

Name: _____

Relationship to Statement Maker:

☐ Self ☐ Spouse* ☐ Child* ☐ Parent* ☐ Sibling*

Address: _____

*Describe your involvement in transaction: _____

3. DESCRIPTION OF DEBT

Date Incurred: _____

Terms of Payment:

\$ _____ per

☐ Month ☐ Quarter ☐ Year

☐ Other (explain): _____

for _____ (number)

☐ Months ☐ Quarters ☐ Years

☐ Other (explain): _____

4. SECURITY FOR DEBT

___ None

___ Real Property (address): _____

___ Personal Property (describe): _____

___ Other (explain): _____

5. PRINCIPAL BALANCE

At start of *reporting period*: \$ _____

At end of *reporting period*: \$ _____

SCHEDULE 6
FAMILY MEMBERS EMPLOYED BY CITY

1. SPOUSE

Name: _____

Address: _____

Name of Agency: _____

Title and Nature of Position: _____

2. CHILD

Name: Carla Blackwell

Address: BALTIMORE, MD.

Name of Agency: Baltimore City Public Schools

Title and Nature of Position: Teacher

3. PARENT

Name: _____

Address: _____

Name of Agency: _____

Title and Nature of Position: _____

4. SIBLING

Name: _____

Address: _____

Name of Agency: _____

Title and Nature of Position: _____

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SCHEDULE 7
OTHER SOURCES OF EARNED INCOME

1. STATEMENT MAKER

Name of Statement Maker: Carl Stokes
Business Entity's Name and Address: T4P Associates
1400 East North Ave
Baltimore MD 21213
Title and Nature of Position: Client Representative

2. SPOUSE

Name of Spouse: _____
Business Entity's Name and Address: _____

Title and Nature of Position: _____

3. CHILD

Name of Child: _____
Business Entity's Name and Address: _____

Title and Nature of Position: _____

4. CHILD

Name of Child: _____
Business Entity's Name and Address: _____

Title and Nature of Position: _____

5. CHILD

Name of Child: _____

Business Entity's Name and Address: _____

Title and Nature of Position: _____
